

The advisory firm of **VIA IV Investments, LLC** provides investment services by means of its own internal operation and unaffiliated third-party service and product providers (for example, your account custodian or a money manager) at your election. The account custodian processes the investment transactions for your account. This firm and the product and service providers receive and maintain information about you that is related to your account.

WHERE DO WE OBTAIN THE INFORMATION

The information that our firm has and uses comes directly from you. This includes such information as your name, address and Social Security number that you provided on applications, agreements or other forms. In addition, we maintain records of each of your transactions and holdings at the product and service provider, which are processed through this firm.

TO WHOM DO WE DISCLOSE THE INFORMATION.

We provide information about current or former clients from the sources described above to parties outside of our firm only.

To other companies as necessary to process your business. For example, we process your investment instructions through product and service providers with whom we have business agreements. The information that we obtained from you is given to the product and service providers for purposes of effecting transactions in your account and preparing your account statements. These parties must limit their use of the information to the purpose for which it was provided.

Where required by law or regulation. Examples include responses to a subpoena, court order or regulatory demand.

As authorized by you. You may direct us, for example, to send account statements or other account information to a third party.

As otherwise authorized or permitted by law. For example, the law permits us to respond to requests for information on you from a consumer-reporting agency.

CONFIDENTIALITY AND SECURITY. We restrict access to information about you to those employees and authorized agents who need to know that information in order to provide products or services to you. We maintain physical, electronic and procedural safeguards as outlined in the attached policy statement to maintain the confidentiality of your information. If you decide to terminate services with our firm or your account becomes inactive, we will continue to adhere to this privacy policy with regard to your personal, non-public information until such time as records may be legally and carefully destroyed.

Positive Opt-In to allow information to be shared

I acknowledge that the firm has provided an initial copy of the Privacy Policy Statement for **VIA IV Investments, LLC** and that by my signature below, I allow **VIA IV Investments, LLC** to share my personal, non-public information with those third parties that I have indicated I wish to use for desired or needed services, such as broker/ dealers and custodians. Additionally, by signing below, each signatory states that a representative of the investment advisory firm of **VIA IV Investments, LLC** has provided as of the date noted, a copy of the disclosure brochure, Form ADV : Part 2 A & B. It is further agreed by the client(s) that ample time and opportunity have been allowed in order to ask questions regarding the disclosures and to attain a clear understanding of the disclosures provided in Form ADV: Part 2 A & B.

Signed: _____ Date: _____